

PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

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|                        |                           |
|------------------------|---------------------------|
| Application Number     | 10/029,026                |
| Filing Date            | 12/21/2001                |
| First Named Inventor   | Chenicheri Hariharan Nair |
| Group Art Unit         | 1741                      |
| Examiner Name          |                           |
| Attorney Docket Number | 56104576-50               |

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
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| <input type="checkbox"/> Amendment / Reply                                   | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
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| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> Request for Refund   | 2 references;  |
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| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   | Remarks   |  |
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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual nameFrank M. Gasparo  
Baker & McKenzie

Signature

Date

03/18/2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 3/18/2002

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Frank M. Gasparo

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Date

03/18/2002

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Chenicheri Hariharan Nair et al.  
Application No.: 10/029,026  
U.S. Filing Date: December 21, 2001  
Title: APPARATUS AND METHOD FOR SEPARATION OF  
MOLECULES AND MOVEMENT OF FLUIDS  
Group Art Unit: 1741  
Examiner: Not Yet Assigned

Commissioner for Patents  
Washington, D.C. 20231

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**TC 1700**

**INFORMATION DISCLOSURE STATEMENT**

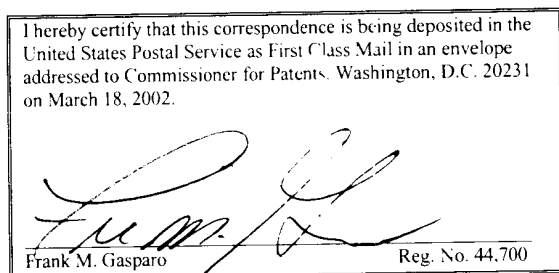
**S I R:**

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 37 C.F.R. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for the Applicants hereby bring the following references, which are listed on the attached modified PTO Form No. 1449 to the attention of the Examiner. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.
2. Each item of information contained in the information disclosure statement was cited in a communication from the International Searching Authority in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.
3. A copy of the International Search Report is enclosed and a copy of the references listed on the modified PTO form 1449 are enclosed.

4. No fee is deemed necessary with the filing of these documents. If a fee is deemed necessary, we authorize the Commissioner of Patents and Trademarks to charge Deposit Account No.: 02-0393.

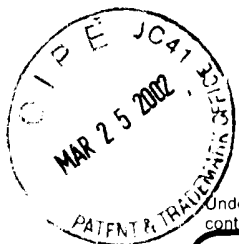
Dated: March 18, 2002

Respectfully submitted,



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| Substitute for form 1449A/PTO                            |   |    | <b>Complete if Known</b> |                           |             |
|  |   |    | Application Number       | 10/029,026                |             |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |   |    | Filing Date              | 12/21/2001                |             |
|  |   |    | First Named Inventor     | Chenicheri Hariharan Nair |             |
| (use as many sheets as necessary)                        |   |    | Art Unit                 | 1741                      |             |
|  |   |    | Examiner Name            |                           |             |
| Sheet  | 1 | of | 1                        | Attorney Docket Number    | 56104576-50 |

| U.S. PATENT DOCUMENTS |                          |  |                                |  |   |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                            | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                       |                          | Number - Kind Code <sup>2</sup> (if known) |                                |  |   |
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| FOREIGN PATENT DOCUMENTS |                          |                           |  |                                |  |   |                |
|--------------------------|--------------------------|---------------------------|--|--------------------------------|--|---|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document   |  | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures Appear | T <sup>6</sup> |
|                          |                          | Country Code <sup>3</sup> | -Number <sup>4</sup> - Kind Code <sup>5</sup> (if known) |                                |  |   |                |
|                          | 1                        | WO                        | 00/13776   | 03-16-2000                     | Gradipore Limited                                  |   |                |
|                          | 2                        | EP                        | 0369945 A2   | 05-23-1990                     | Aligena AG   |   |                |

|                       |  |                    |  |
|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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